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7-20.5-49-9W  
PATENT

Docket No. 3178-4021US1

Express Mail Label No. EI 344304202 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

First named Inventor

or Application Identifier: Jay S. Walker

For:

METHOD AND APPARATUS FOR A CRYPTOGRAPHICALLY ASSISTED  
COMMERCIAL NETWORK SYSTEM DESIGNED TO FACILITATED BUYER-  
DRIVEN CONDITIONAL PURCHASE OFFERS

Enclosed are:

☒ 72 page(s) of specification, 1 page(s) of Abstract, 17 page(s) of claims (as originally filed in the parent application)

☒ 20 sheets of drawing (Figs 1-20)    ☐ formal    ☒ informal (as originally filed in the parent application)

☒ 6 page(s) of Declaration and Power of Attorney

☐ Unsigned

☒ Newly Executed

☐ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐ \_\_\_\_\_ page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing

☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

04/13/98  
JCS41 U.S. PTO

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- ☒ Letter to the Draftsperson enclosing 20 sheets of formal drawings (Figs 1-20)
- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☒ Information Disclosure Statement
- ☐ Copy of \_\_\_ cited references
- ☐ Preliminary Amendment
- ☐ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment.
- ☒ Assignment papers filed in parent application Serial No. 08/707,660.
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. 08/707,660.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application.
- ☒ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_  
This is a ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. 08/707,660 filed September 4, 1996.

CANCELLATION OF APPLICATION FEE						Basic Fee
	Number Filed		Number Extra	Rate		\$ 790.00
Total Claims	96	-20=	76	x	\$22.00	\$ 1672.00
Independent Claims	5	- 3=	2	x	\$82.00	\$ 164.00
Multiple Dependent Claims						
	<input type="checkbox"/> yes		Additional fee	=	\$270.00	\$
	<input type="checkbox"/> no		Additional fee	=	NONE	

[ x ] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ 1313.00.

[ x ] A check in the amount of \$ 1313.00 in payment of the application filing fees is attached.

[ ] Charge Fee(s) to Deposit Account No. 13-4500. Order No. 3178-4021US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

[ X ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500 Order No. 3178-4021US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

**MORGAN & FINNEGAN, L.L.P.**

By: Walter G. Hanchuk  
Walter G. Hanchuk  
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PATENT

Docket No. 3178-4021US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Jay S. Walker

Continuation of  
Serial No. : 08/707,660

Prior Application:  
Examiner: Lauper, P.  
Group Art Unit: 3642

Filed : April 10, 1998

For : **METHOD AND APPARATUS FOR A CRYPTOGRAPHICALLY  
ASSISTED COMMERCIAL NETWORK SYSTEM DESIGNED TO  
FACILITATE BUYER-DRIVEN CONDITIONAL PURCHASE OFFERS**

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EI 344304202 US

Date of Deposit April 13, 1998

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

I hereby certify that the attached Continuation Patent Application Transmittal (enclosing 72 pages of specification, 1 page of abstract, 17 pages of claims and total 20 sheets of informal drawings, Figs 1-20); A check in the amount of \$1313.00; Letter to the Draftsperson (enclosing formal figs 1-20); PTO Form 1449; Information Disclosure Statement; executed Declaration and Power of Attorney; return Postcard and this Express Mail Certificate, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Albert Isles

(Typed or printed name of person mailing  
paper(s) or fee)



(Signature of person mailing paper(s) or fee)

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04/13/98  
JCS41 U.S. PTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jay S. Walker et al. GROUP ART UNIT: 3642  
SERIAL NO.: TBA EXAMINER: Laufer, P.  
FILED: April 13, 1998  
FOR: **METHOD AND APPARATUS FOR A CRYPTOGRAPHICALLY  
ASSISTED COMMERCIAL NETWORK SYSTEM DESIGNED TO  
FACILITATE BUYER-DRIVEN CONDITIONAL PURCHASE  
OFFERS**

LETTER TO OFFICIAL DRAFTSPERSON

Assistant Commissioner For Patents  
Washington, D.C. 20231

S i r :

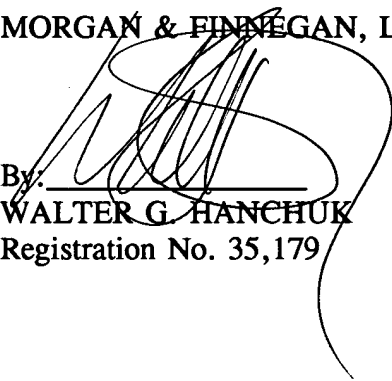
Enclosed herewith are twenty (20) sheets of formal drawings including Figures 1-20 for consideration by the Draftsperson in the above-referenced application. No petition for an extension of time is believe necessary for consideration of these drawings. However, should an extension of time be deemed necessary, such an extension is hereby petitioned. The Commissioner is authorized to charge Deposit Account 13-4500, Order No. 3178-4021US1, for any fee deficiency. A DUPLICATE COPY OF THIS DOCUMENT IS ATTACHED.

In the event that a telephone conference would facilitate prosecution of this application in any way, the undersigned may be contacted at the number provided.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: April 13, 1998

By:   
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SEE "04885060"